

Insurance, Security, & Privacy

The security, safety and privacy of our clients are of the utmost importance to QuantRiver Financial, LLC. The following describes how your account is insured, some of our security precautions and privacy policy.



Financial Security

QuantRiver Financial is a member of the Securities Investor Protection Corporation (SIPC). As a member of SIPC, funds are available to meet client claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. QuantRiver Financial's clearing firm has purchased an additional insurance policy through a group of London Underwriters (with Lloyd's of London Syndicates as the Lead Underwriter) to supplement SIPC protection. This additional insurance policy becomes available to clients in the event that SIPC limits are exhausted and provides protection for securities and cash up to an aggregate of \$600 million. This is provided to pay amounts in addition to those returned in a SIPC liquidation. This additional insurance policy is limited to a combined return to any customer from a Trustee, SIPC and London Underwriters of \$150 million, including cash of up to \$2.15 million. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Firewalls and Secure Socket Layer (SSL) Technology

QuantRiver Financial LLC utilizes and continually enhances security measures to protect against the loss, misuse and alteration of the information which it controls. When personal information is submitted via the website, the information is encrypted online (look for the padlock icon on your browser to verify security). We implement SSL technology and safeguards to maintain the confidentiality of your information.

Username and Password Security

Usernames and passwords are unique. It is your responsibility to keep your password secure. For security purposes, QuantRiver Financial LLC will not provide or confirm passwords. If you forget your password, you will need to go online to reset it.

Failed Login Attempts

To help protect accounts from unauthorized users, QuantRiver Financial, LLC blocks access to accounts after a set number of unsuccessful login attempts. To restore access to your account you must contact Customer Support. Once we determine that no unauthorized activity has occurred, your access may be restored.

Automatic Logoff

To help ensure that you never leave your account information unattended, our system automatically logs you off after a period of inactivity.

Privacy Policy

QuantRiver Financial, LLC takes privacy and the protection of personal information online seriously. We are committed to treating personal information we obtain through our web site with respect for your privacy concerns, and in accordance with the [Privacy Policy](#) herein. This Privacy Policy describes QuantRiver Financial LLC's policies concerning the use of personal information of all users collected via this web site. QuantRiver Financial, LLC [Privacy Policy](#).